The γ Function in Quantum Theory II. Mathematical Challenges and Paradoxa

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¹ Abstract While the square root of Dirac's δ is not defined in any standard methometrical formalism postu

³ lating its existence with some further assumptions defines a generalized function called $\gamma(x)$ which permits

⁵ a quasi-classical treatment of simple systems like the H

6 atom or the 1D harmonic oscillator for which accurate

7 quantum mechanical energies were previously reported.

⁸ The so-defined $\gamma(x)$ is neither a traditional function nor

⁹ a distribution, and it remains to be seen that any con-¹⁰ sistent mathematical approaches can be set up to deal ¹¹ with it rigorously. A straightforward use of $\gamma(x)$ gener-¹² ates several paradoxical situations which are collected ¹³ here. The help of the scientific community is sought to ¹⁴ resolve these paradoxa.

15 1 Introduction

 $_{16}$ In a recent paper[1], hereafter referred to as paper I,

17 the existence of a (generalized) function $\gamma(x)$ was pos-

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Laboratory of Theoretical Chemistry, H-1518 Budapest 112, P.O.B. 32 E-mail: peter.surjan@ttk.elte.hu tulated which satisfies the following axioms:

$$\gamma(x) = 0 \quad \text{for} \quad x \neq 0$$
 (1a)

$$\int_{-\infty}^{\infty} \gamma^2(x) f(x) dx = f(0)$$
 (1b)

$$\int_{-\infty}^{\infty} \gamma(x) f(x) \gamma''(x) dx = 0$$
 (1c)

for any smooth, nonsingular function f(x), the prime indicating derivative. Axiom (1b) implies that $\int \gamma^2 =$ 1, that is, function γ is square-integrable. This, together with (1a) implies that γ is singular at the origin. Axioms (1a–1b) identify $\gamma(x)$ as a square-root of the Dirac's δ , while (1c) was termed as the "kinetic postulate" for reasons given below.

With a trivial correction of Eqs. (1) indicating complex conjugates, function γ (or wave functions constructed by it) can bear a complex phase factor. This, of course, would not affect any of the matrix elements discussed below. While even more complex functions could be considered then, in the present work we deal with real functions for simplicity.

The physical/chemical interpretation of the above axioms is as follows. A unit point charge clamped at the origin possesses the charge density

$$\rho(r) = \delta(r).$$

If, in the spirit of a quasi-classical theory, one wants to associate a wave function to this charge density, one should formally write

$$\psi(r) = \sqrt{\delta(r)} = \gamma(r)$$

³⁹ which, however, is a non-existent object among either⁴⁰ traditional functions or distributions. This does not

generate any problem in quantum mechanics, as a static 78 41 point charge (electron) is not legal there – it would con-42 tradict e.g. the Heisenberg uncertainty principle. 43

In the theory under discussion one's aim is to elab-44 orate a formalism which can deal with (quasi)classical 45 objects using (a part of) the formalism of quantum me-46 chanics: operators and expectation values. This is the 47 motivation to search for a "wave function" of a resting 48 charge, the latter being denoted here by $\gamma(r)$. Keeping 49 this particle at rest requires to ensure that its kinetic 50 energy is zero. This is satisfied by (in Cartesian coordi-51 nates and one dimension) 52

$$\langle \hat{T} \rangle = -\frac{\hbar^2}{2m} \langle \gamma | \gamma'' \rangle = -\frac{\hbar^2}{2m} \int_{-\infty}^{\infty} \gamma(x) \gamma''(x) dx = 0$$

as a special case of axiom (1c) for f(x) = 1. 53

The above equation clearly contradicts Heisenberg's 54 uncertainty relation. This is intentional, as the present 55 aim is to develop a quasi-classical theory exhibiting 56 classical features. 57

The three axioms above have been used in paper 58 I for some examples, and the results recapitulated in 59 Sect. 3 have been obtained. While the results listed 60 there are noteworthy, the mathematical foundations of 61 function γ are still lacking. The aim of this paper is to 62 collect all mathematical problems connected to function 63 97 γ that are known to us, in the hope that readers of this 64 Journal can contribute to solving them. Apostrophing 65 from Bernoulli: "Problema novum, ad cuius solutionem 98 66 mathematici invitantur. (Joan Bernoulli, Opera Omnia, 67 Tomus I.) 68 100

2 Trivial properties of $\gamma(x)$ 69

We work under the assumption that relations of ele- 105 70 mentary calculus, e.g., the chain rule or the integration ¹⁰⁶ 71 by parts, apply to expressions involving γ . If doing so, 72 some properties of $\gamma(x)$ follow from axioms (1a–1b), 73 that is, from the identification of $\gamma^2(x)$ to $\delta(x)$. From 74 the basic property of the latter, 75

$$\int_{-\infty}^{\infty} f(x)\delta(x) \, dx = f(0), \tag{2}$$

108 which is valid for any well-behaved function f(x), it follows (via integrating by parts) that 77 110

with the superscript (n) indicating *n*-th derivative. Properties of γ arise by substituting $\gamma^2(x)$ in place of $\delta(x)$. For the first derivative, e.g., one obtains:

$$\int_{-\infty}^{\infty} \gamma(x) f(x)\gamma'(x) \, dx = -\frac{1}{2} f'(0) \tag{4}$$

which is a fundamental property of function γ . To obtain (4), one simply uses that $(\gamma^2)' \equiv 2 \gamma \gamma'$.

It is noteworthy that the value of the integrals of type $\int \gamma \gamma''$, which are postulated to be zero by axiom (1c), can never be determined from these manipulations. This may give the impression that one is free to define this integral within the present formalism, and if this is true, one can apply definition (1c), in order to meet the physical interpretation of the kinetic integral at the quasiclassical level.

The properties given above are sufficient to treat the applications shown in paper I collected below.

3 Summary of previous results

To improve the readability of this article, we recollect the basic results from paper I.

3.1 The H atom s states

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The H atom was described by the Ansatz

$$\Psi_{ns} = \mathcal{N}_n r^{n-1} \gamma(r-r_n) Y_{00}, \quad n = 1, 2, 3, \cdots$$
 (5)

in spherical coordinates, where $Y_{00} = \frac{1}{\sqrt{4\pi}}$ is the nor-malized *s*-type spherical harmonics. This is interpreted physically as a bubble model of the H atom, where the s-electron with principal quantum number n is distributed on the surface of a sphere of radius r_n . This means that the electron rests radially but it is delocalized angularly. The energy of the H atom was evaluated by standard quantum mechanical rules and using axioms (1a-1c). The result is:

$$E_{ns}(r_n) = \langle \Psi_{ns} | \hat{H}_{\text{hydrogen}} | \Psi_{ns} \rangle = T + V$$
$$= \frac{n^2}{2 r_n^2} - \frac{1}{r_n}$$
(6)

in atomic units. It has minima wrt r_n at $r_n = n^2$:

$$E_{ns} = -\frac{1}{2} \frac{1}{n^2}, (7)$$

i.e., the exact energies of the hydrogenic $|ns\rangle$ states were obtained. Note that after minimization the energy formula satisfies the virial theorem in the form 2T = -V. Conversely, instead of minimization, the same energy formula (7) can be obtained by fixing r_n in (6) to satisfy the virial theorem.

¹¹⁴ 3.2 The harmonic oscillator

¹¹⁵ Considering the standard Hamiltonian

$$\hat{H} = -rac{1}{2} \; rac{d^2}{dx^2} \; + \; rac{1}{2} \; \omega^2 \; x^2$$

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(in atomic units and for unit mass) and the wave func-tion Ansatz

$$\Psi_n = \mathcal{N}_n x^n [\gamma(x-x_n) + \gamma(x+x_n)], \ n = 0, 1, 2, \cdots,$$
¹⁴

where x_n are analogues of the classical turning points, the normalization constant was found to be

$$\mathcal{N}_n = \frac{1}{\sqrt{2} x_n^n}.$$

¹²⁰ The resulting energy formula is

$$E_n(x_n) = \frac{1}{2} \left(\frac{n^2}{x_n^2} + \omega^2 x_n^2 \right)$$
(8) (8)

¹²¹ having the minimum wrt parameters x_n

$$E_n = n\omega,$$
¹⁶¹

at $x_n = \sqrt{\frac{n}{\omega}}$, to be compared with the exact quan-¹⁶³ tum mechanical spectrum $E_n = (n + \frac{1}{2})\omega$. This result ¹⁶⁴ correctly gives back the quantized energies of the oscil-¹⁶⁵ lator regarding the equidistant energy levels separated ¹⁶⁶ by ω , but, as a consequence of the quasi-classical nature ¹⁶⁷ of the model wave function, does not provide the zero ¹⁶⁸ point energy $\frac{1}{2}\omega$. ¹⁶⁹

We add here that the virial theorem for the har-¹⁷⁰ monic potential requires T = V. It is satisfied by the ¹⁷¹ above result after variation, which is easy to check upon ¹⁷² substituting the optimal $x_n = \sqrt{n/\omega}$ into Eq. (8). ¹⁷³ Conversely, requiring that T = V in (8) and solving for ¹⁷⁴ x_n , the same energy results emerge. ¹⁷⁵

135 3.3 The He atom

helium rough model of the А atom was 136 constructed in which the two electrons are distributed 137 on the surface of a sphere, occupying positions with 138 maximum distance from each other (the "north-south" 176 139 model). The ground state energy was -3.06 a.u., 177 140 slightly below the exact quantum mechanical energy 178 141 -2.9. a.u. of He. 142 179

¹⁴³ 4 The impossibility of the kinetic postulate

Although the kinetic postulate (1c) has been used in paper I. with success, here we show an argument indicating that it cannot be true for all f(x). Starting from

$$\gamma^2(x) = \delta(x) \tag{9}$$

and taking its second derivative one has:

$$2\gamma'^2 + 2\gamma\gamma'' = \delta''. \tag{10}$$

Multiplying this by f(x) and integrating yields

$$2\int_{-\infty}^{\infty} f(x) \gamma'^2 dx + 2\int_{-\infty}^{\infty} f(x) \gamma \gamma'' dx = f''(0),$$

where property (3) of the δ -function was used.

It is easy to see that this result leads to a contradiction for certain f(x). Consider a function which is positive everywhere, integrable and differentiable, and has a negative second derivative at the origin. An example is a gaussian. For this, the rhs is negative, while the first integral at the lhs is nonnegative. Therefore the second integral, which is just the kinetic postulate, cannot be zero for such an f(x).

There are, however, functions f(x) with other properties, for which the kinetic postulate holds, but as we see here, it cannot hold generally. This fact was not known to us when paper I was completed.

Accordingly, the situation is quite challenging: while (1c) is not true in general, its use as it was done in paper I and summarized here in Sect. 3, has lead to meaningful results.

The possible explanation of this paradox is currently being investigated in our laboratory and will be published in a forthcoming paper. The line of this investigation is motivated by the fact that a well-known origination of the Dirac' δ is a limit of a valid family of functions (the so-called δ -series). The above paradox makes this unlikely for γ , in connection to kinetic postulate. In a future paper we will pursue this approach; our preliminary results are encouraging.

At the present stage of research, to get rid of the contradiction among axioms (1) generated by requiring (1c) for any function f(x), we may modify this postulate to the weaker condition

$$\int_{-\infty}^{\infty} x^k \gamma(x) \gamma''(x) \, dx = 0,$$

where k is a finite, nonegative integer. This does not generate any contridiction to our current knowledge, but is sufficient to carry out all derivations reported in paper I. ∞

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¹⁸⁰ 5 The concept of singularity strength

An alternative introduction of the γ function could be 210 to consider the following properties: 211

$$\int_{-\infty}^{\infty} \gamma(x) \, dx = 0 \tag{11a}$$

$$\int_{-\infty}^{\infty} \gamma^2(x) \, dx = 1 \tag{11b}$$

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$$\int_{-\infty} \gamma^n(x) \, dx = \infty \quad \text{for integer } n \ge 3, \tag{11c}$$

218 with $\gamma(x)$ being almost everywhere zero with the ex-183 219 ception of the point x = 0. Of these, (11b) matches 184 220 (1b), the identification of γ^2 to Dirac's δ . Eq. (11a) ex-185 221 presses that, while $\gamma(x)$ is singular at the origin as a 186 222 consequence of (11b), it is not singular enough to yield 187 223 a nonzero integral. Eq. (11c) indicates that γ^3 and all 188 224 higher powers of γ are so singular at the origin that, in 189 225 spite of being zero everywhere else, their integrals are 190 226 divergent. 191 227

¹⁹² Accordingly, one may define the *singularity strength* ²²⁷ ¹⁹³ $\frac{1}{\nu}$ of a function g(x) which is almost everywhere zero ¹⁹⁴ by defining ν as ²²⁹

$$\int_{-\infty}^{\infty} g^{\mu}(x) dx = \begin{cases} 0, & \text{if } 0 < \mu < \nu \\ 1, & \text{if } \mu = \nu \\ \infty, & \text{if } \mu > \nu, \end{cases}$$
(12) 231
(12) 232

with q^{μ} indicating the μ -th power of q(x). Note that in ²³³ 195 the case of $\mu = \nu$, the result can be any finite number ²³⁴ 196 which can be required to be one by appropriate normal-²³⁵ 197 ization. With this definition, the singularity strength of ²³⁶ 198 Dirac's δ is 1, while that of the γ function equals $\frac{1}{2}$.²³⁷ 199 Note that parameter ν is not necessarily an integer. 238 200 Given a smooth and bounded function f(x), ²³⁹ 201 Eqs.(11a)-(11b) can be generalized as 240 202

$$\int_{-\infty}^{\infty} f(x) \gamma(x) dx = 0$$
 (13a) (13a

$$-\infty$$

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$$\int_{-\infty} f(x) \gamma^2(x) dx = f(0).$$
(13b)²⁴⁶
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Since $\gamma(x)$ is zero everywhere for $x \neq 0$, function f(x) can affect integrals (13b) only through its finite value f(0).

Remark. There is also an intuitive argument suggesting that Eq. (13a) may hold:

$$\int_{-\infty}^{\infty} f(x) \gamma(x) dx \equiv \int_{-\infty}^{\infty} \frac{f(x)}{\gamma(x)} \gamma^2(x) dx = \frac{f(0)}{\gamma(0)} = 0.$$
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This equation is not precise from the mathematical point of view, since function $f(x)/\gamma(x)$ is neither smooth, nor bounded for $x \neq 0$. However, within the integral, it exhibits a removable singularity, since the numerator contains γ^2 . After integration, in course of which a generalization of Eq. (2) is used, the emerging function $f(0)/\gamma(0)$ is bounded and, $\gamma(0)$ being infinite, it is hard to assign to it any values other than zero.

6 Comments on previous mathematical efforts

As known, a rigorous formulation of the Dirac- δ and similar generalized functions can be done within the theory of distributions[2,3]. Motivated by the so called *impossibility theorem* of Schwartz[4], stating that no associative multiplication may exist among distributions, several ideas have been studied to deal with multiplication of generalized functions. Some authors have also addressed the question of the square-root of the Dirac- δ . A few of these theories are listed below. Our conclusion from this list is neither of these previous efforts solves the question of the existence of $\gamma(x)$ as defined by axioms (1).

– Colombeau algebra

The Colombeau algebra [5,6] is a structure obtained by taking the quotient of an algebra with respect to an ideal within it. Distributions are considered to be elements of this algebra via an embedding, thus their multiplication can be defined. Not all elements of a Colombeau algebra correspond to distributions. The association of elements is defined so that effect of associated elements (denoted as \approx) on test functions differs by an infinitesimal number. Thus the concept of infinitesimals (and consequently, generalized numbers) [7] is connected to Colombeau's theory of generalized functions. The Colombeau algebra generalizes pointwise multiplication of classical functions. However, product of two classical functions in the Colombeau algebra is not equal to their classical product, 'only' associated to it. This allows to e.g., construct for any complex number c a generalized function g that fulfills $g^2 \approx \delta$, i.e., a square root of Dirac's delta in some sense (Ref.[8] Example 10.6.). Apparently these constructions do not conform to the kinetic postulate (1c) (i.e., $g \cdot g'' \approx 0$ does not hold).

- Thurber's theory [9]

Thurber also utilizes the concept of 'infinitesimal' and 'infinitely large' quantities (generalized numbers) appearing in the context of non-standard analysis[7], and defines fractional powers of delta via the function $d(x) = cn^{1/2} \exp(-nx^2)$, where n_{301} is infinitely large. Thurber and Katz perform calcu- $_{302}$ lations with $d^p(x)$ e.g., on

 $_{260}$ – the self energy U of a classical electron,

²⁶¹ – non-standard wave packets. ³⁰⁴ ²⁶² In the former case, they obtain $U \sim n^b$, where b ³⁰⁵ ²⁶³ depends on p, and choose parameter p such that ³⁰⁶ ²⁶⁴ b = 0 and U is finite.

$_{265}$ – Craven's formalism[10]

²⁶⁶ Craven also relies on the concept of infinitesimals

and generalized functions to obtain a square root of $_{307}$ δ , but observations similar to those made above in $_{308}$ connection with Ref. [8] hold.

270 - Hanzon's theory[11]

Hanzon treated the distribution equation $f^2 = \delta$ either on a unit circle of the complex plane or on the real axis, in the latter case considering a periodic δ function

$$\sum_{k=-\infty}^{\infty} \delta(x-k).$$

275Neither is the case here, and we note also that his $_{310}$ 276definition of multiplication of distributions makes $_{311}$ 277use the concept of convolution, that we do not use $_{312}$ 278here either.

279 7 Open questions and unusual properties of 280 $\gamma(x)$

We proceed now to collect some paradoxical proper-281 ties of $\gamma(x)$, in addition to the one discussed above in 282 Sect.4. We emphasize that we cannot resolve all of these 283 paradoxa, but the physical information provided by the 284 use of $\gamma(x)$, i.e., the successful applications presented 285 in paper I, suggests that there should exist such res-286 olution, maybe within a mathematical framework yet 287 unexplored. 288

²⁸⁹ In this Section, we address the following particulars:

- ²⁹⁰ Function γ is unexpandable in a separable basis of ³¹⁷ ²⁹¹ L^2 ³¹⁸
- Violation of some standard quantum mechanical 319
 theorems 320
- $_{294}$ Linear combination of γ -containing terms
- ²⁹⁵ The question of the closure relation
- Some functions containing $\gamma(x)$ form a zero-length $_{323}$ subset $_{324}$
- ²⁹⁸ Blockdiagonality of Hamiltonians
- ²⁹⁹ Full support of the zero differential overlap approx-³⁰⁰ imation by γ -functions ³²⁷

7.1 Function γ is unexpandable in a separable basis of L^2

Let us study first, for comparison, the expansion of the Dirac δ . Let an orthonormal basis in the L^2 function space be formed by real functions $\chi_k(x)$. Then the expansion writes:

$$\delta(x) = \sum_{k} c_k \chi_k(x)$$

where the expansion coefficients emerge by evaluating the scalar products

$$c_k = \langle \chi_k | \delta \rangle = \int_{-\infty}^{\infty} \chi_k(x) \ \delta(x) \ dx = \chi_k(0).$$

The series thus obtained,

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$$\delta(x) = \sum_{k} \chi_k(0) \chi_k(x)$$

is simply the special case of the completeness relation $\delta(x - y) = \sum_k \chi_k(y)\chi_k(x)$, and is clearly divergent since $\delta(x) \notin L^2$, as manifested by $\sum_k \chi_k(0)^2 = \infty$. This means that the Dirac's δ , although not square-integrable, can be regarded as a limit of L^2 functions (the limit taken point wise, not with respect to norm).

How does the above modify if using $\gamma(x)$ in place of $\delta(x)$? Writing

$$\gamma(x) = \sum_{k} c_k \chi_k(x)$$

and expressing the expansion coefficients one gets:

$$c_k = \langle \chi_k | \gamma \rangle = \int_{-\infty}^{\infty} \chi_k(x) \gamma(x) dx = 0,$$

since χ_k -s are nonsingular and the singularity strength (cf. Sect. 5) of γ is $\frac{1}{2}$. Accordingly, $\gamma(x)$ is represented by a sum of an infinite number of zeros. This function has therefore no practical expansion. Strictly speaking, it is not an element of L^2 , as it cannot be considered as an accumulation point of any sequence in L^2 . Thus we see the paradoxical situation that while $\gamma(x)$ is squareintegrable, it is not an element of the L^2 function space. Here we merely pose the question whether it is possible to extend the concept of the L^2 space so that the extended space contains function $\gamma(x)$ and the functions derived from it. 7.2 Consequences of Sect. 7.1: violation of standard theorems

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Some fundamental theorems of quantum mechanics, $_{363}$ 330 like the variational theorem (the upper bound na-364 331 ture of the energies of trial functions) or the Eckart 365 332 theorem (on the convergence of trial wave func-₃₆₆ 333 tions) are proven by utilizing that any trial func-₃₆₇ 334 tion is expandable in the complete space of exact 368 335 eigenstates [12]. Sect. 7.1 above has the message that $_{369}$ 336 function γ is not expandable. Therefore, when eval- $_{370}$ 337 uating an energy as an expectation value of a wave 371 338 function constructed by $\gamma(x)$ it may happen that 372 339 we get an energy which is lower than the exact 340 ground state (by violating the variational theorem), or 341 we may get the exact energy while our wave function 373 342

is not exact (violating the Eckart theorem). This latter
exactly happens in the case of the hydrogen atom.

 $_{345}$ 7.3 Linear combination of γ -containing terms

³⁴⁶ Consider a set of functions $\{b_k\}$ defined as

$$b_k(x) = \mathcal{N}_k \ x^k \ \gamma(x - x_0)$$

³⁴⁷ with x_0 (the center of function γ) is fixed. The normal-³⁴⁸ ization factor, using condition $\langle b_k | b_k \rangle = 1$, evaluates ³⁴⁹ to

$$\mathcal{N}_k = \frac{1}{x_0^k}.$$

It may be tempting to expand wave functions in ³⁸⁵ terms of $b_k(x)$ instead of using an intuitively selected ³⁸⁶ wave function Ansatz as it was done e.g. in paper I. However, when checking the full overlap matrix, one finds that

$$S_{kl} = \langle b_k | b_l \rangle$$

= $\mathcal{N}_k \mathcal{N}_l \int_{-\infty}^{\infty} x^k \gamma(x - x_0) x^l \gamma(x - x_0) dx$
= $\frac{x_0^{k+l}}{x_0^k x_0^l} = 1,$

thus such functions form a redundant set which makes ³⁸⁸ them inappropriate to form a basis. The resolution of ³⁸⁹ this paradox is that $\gamma(x - x_0) = 0$ almost everywhere, ³⁹⁰ namely it is zero everywhere with the exception of the point $x = x_0$. Therefore, one may write

$$b_k(x) = \mathcal{N}_k \ x^k \ \gamma(x - x_0) = \underbrace{\mathcal{N}_k \ x_0^k}_{1} \ \gamma(x - x_0)$$

$$= \gamma(x - x_0)$$
³⁹¹
³⁹¹
³⁹²
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for every integer k. The conclusion is that new basis functions cannot be generated by multiplying the same γ function by different power functions. (Note that in paper I we used the Ansatz (5) for the hydrogenic ns states, but there the power functions multiply different $\gamma(r - r_n)$ -s. A similar remark applies for the different states of the oscillator.) The above argument holds only if no derivatives of b_k are considered, i.e., when b_k enters a matrix element of a multiplicative operator. Thus, albeit $\langle b_k | b_l \rangle = 1$ even for $k \neq l$, the kinetic energy matrix elements evaluated by these two functions will not be the same, generating another paradoxical propery of function γ .

7.4 The question of the closure relation

Let us investigate now the question whether functions $\gamma(r-\tau)$ for all τ form a basis in some sense, i.e., whether they satisfy some form of the completeness (closure) relation. Let us recapitulate first the similar property of the Dirac's delta function. Instead of satisfying the discrete closure relation $\sum_k \psi_k(x)\psi_k(y) = \delta(x-y)$, which the discrete basis functions should obey in order to form a complete basis, the Dirac- δ functions at various positions τ satisfy the continuous closure relation

$$\int_{-\infty}^{\infty} \delta(x-\tau) \, \delta(y-\tau) \, d\tau = \delta(x-y).$$

This follows simply from the basic property of the Dirac- δ , Eq. (2).

In comparison, when evaluating a similar integral for the γ functions, one obtains:

$$\int_{-\infty}^{\infty} \gamma(x-\tau) \gamma(y-\tau) d\tau$$

$$= \begin{cases} 0 & \text{if } x \neq y \\ \int_{-\infty}^{\infty} \gamma^2(x-\tau) d\tau = 1 & \text{if } x = y \end{cases}$$

$$= \delta_{x,y} \qquad (14)$$

with a somewhat uncommon notation for the Kronecker delta-symbol, which is typically used for discrete indices. Relation (14) suggest that functions $\gamma(x - \tau)$ for all τ satisfy a closure relation apart from normalization.

7.5 On a zero-length subset

In his lecture notes on linear algebra[13], Löwdin discussed the case of nonzero vectors having zero norms. These originated form an indefinite metric of the space. ⁴³² Here we call the attention to the fact that some nonzero ⁴³³ functions, derived from $\gamma(x)$, can have zero length as a ⁴³⁴ consequence of the singular properties of γ . ⁴³⁵

A simple example is the function $f(x) = x \cdot \gamma(x)$. 436 One could (wrongly) argue that this function is identically zero as x is zero at the origin while $\gamma(x)$ is zero 438 everywhere else. The error is in forgetting that γ is singular at the origin. To point out that this argument is indeed misleading, consider the derivative of f(x):

$$f'(x) = \gamma(x) + x \cdot \gamma'(x) \neq 0.$$
 (15)

⁴⁰⁴ If f(x) were identically zero, its derivative would be ⁴⁴¹ ⁴⁰⁵ the same, while f'(x) is apparently nonzero. On the ⁴⁴² ⁴⁰⁶ contrary, it has a nonzero overlap with $\gamma(x)$: ⁴⁴³

$$\langle \gamma(x)|f'(x)\rangle = \underbrace{\langle \gamma(x)|\gamma(x)\rangle}_{1} + \underbrace{\langle \gamma(x)|x\gamma'(x)\rangle}_{-\frac{1}{2}} = \frac{1}{2}, \qquad \overset{445}{}_{446}$$

where Eq.(15) was substituted and properties (1b) and
(4) were used. However, evaluating the square norm one
finds:

$$||f||^2 = \langle x\gamma|x\gamma\rangle = \int_{-\infty}^{\infty} x^2 \gamma^2(x) \ dx = 0,$$

410 as a consequence of the trivial property of $\gamma^2 = \delta$.

Accordingly, the nonzero function $f(x) = x \cdot \gamma(x)$ Accordingly, the nonzero function $f(x) = x \cdot \gamma(x)$ has a zero norm, it is an element of the zero length subspace of an extension of the L^2 space containing function γ and functions emerging from it.

⁴¹⁵ **Remark.** The example of $x\gamma(x)$ is not a rare one. ⁴¹⁶ It is easy to see that $g(x)\gamma(x)$ has a zero norm for any ⁴¹⁷ g(x) which is zero at the origin.

418 7.6 Blockdiagonality of Hamiltonians

This point will be shown first on the example of the H₄₆₇ 419 atom. Considering the functions given by Eq.(5) as a $_{468}$ 420 basis subset, one may represent the Hamiltonian of the 469 421 H atom in this basis. The diagonal elements are given in 470 422 Eq.(7). As to the off-diagonal elements $\langle \Psi_{ms} | \hat{H} | \Psi_{ns} \rangle$ for 471 423 $m \neq n$, one observes that the corresponding integrals 472 424 contain the products of $\gamma(r-r_m)$ and $\gamma(r-r_n)$ or 473 425 derivatives thereof, and since $r_m \neq r_n$, these integrals 474 426 vanish (see the discussion in point 7.7. below). 475 427

We have thus the unusual situation that, while the $_{476}$ basis functions Ψ_{ns} are not exact eigenstates of the hy- $_{477}$ drogenic Hamiltonian, the latter is diagonal in this sub- $_{478}$ set of basis functions with exact eigenenergies. $_{479}$ This situation is not characteristic to the H atom. Any "local" operators, i.e., those not affecting the place x_i of singularity of $\gamma(x - x_i)$, show this feature, as well as terms of a Hamiltonian: the kinetic energy operator and the potential. This is due to the fact that functions $\gamma(x - x_i)$ with different centers x_i manifest the full ZDO (zero differential overlap) model. This point will be discussed below in more detail.

7.7 Full support of ZDO approximation

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This problem occurs when trying to treat two or more electrons.

The ZDO approximation played a central role in early days of quantum chemistry, when no ab initio computations were available for real chemical systems. Semiempirical theories applied the ZDO approximation as a tool of handling two-electron integrals[14, 15, 16, 17, 18]. The success of semiempirical methods motivated theoreticians to search some explanation why these work, in spite of the fact that ZDO treatment of two-electron integrals could not be justified numerically.

An interesting argument was emphasized by Fisher-Hjalmars[19]. Since semiempirical in theories the basis functions (typically AOs) are explicitly specified when setting never up of two-electron integrals, one the list can imagine that the original, overlapping AO basis set has been Löwdin-orthogonalized tacitly. It was indeed shown that the ZDO approximation is much less drastic in a Löwdin AO basis.

The set of γ functions centered at different places is obviously an orthogonal one:

$$\langle \gamma(x-x_i)|\gamma(x-x_k)\rangle = 0 \qquad i \neq k,$$

since the bra and the ket functions have no common point where they both differ from zero, and the singularity strength of γ -functions is $\frac{1}{2}$.

Recall that orthogonality of two spatial functions may occur from two rather different reasons. In the first case they share their nonzero measure domain, at least a part of it, but their nodal structure makes them orthogonal. In this case they are orthogonal only after integration, but their differential overlap is nonzero. The other case is when there is no point or domain where the two functions are simultaneously nonzero. These are the functions which fully satisfy the ZDO condition. To our knowledge, such functions were only imagined so far, but have never been explicitly constructed, apart from large-exponent gaussians located at remote places (Löwdin orthogonalization yields only an approximate 480 ZDO). The use of γ functions offers an explicit realiza- 530 481 tion of ZDO basis sets. 531

There is a problem, however, which was present 532 482 already in semiempirical quantum chemistry, but was 483 533 somehow always swept under the rug: the role of ZDO 484 534 in one-electron integrals. Namely, the ZDO condition 485 535 was never used there, otherwise no off-diagonal ele-486 ments would have been emerged, and no hopping inte-487 grals would have survived, i.e., no chemical bonds would 488 have occurred. A partial explanation was that kinetic 489 energy integrals do not contain differential overlap of 490 537 the bra and the ket functions, since the ket is differen-491 538 tiated by the Laplacian. This argument, however, does 492 not explain why not to use ZDO in one-electron poten-493 540 tial integrals, like the nuclear-electron attraction, which 494 541 was never applied either. Rather, these integrals were 495 542 empirically approximated, often using the integral over-496 543 lap of the bra and the ket in an empirical (Wolfsberg-497 544 Helmholtz) formula[20]. Such parametrization has led 498 545 to much success even when neglecting two-electron in-499 546 teraction entirely, such as in Hoffmann's seminal ex-500 tended Hückel theory[21]. 501

Further research has to be conducted to see whether functions γ can be, in some manner, used in developing quasiclassical models for many-electron wave functions. 544

505 8 Summary

553 This paper collects several striking properties of func-506 554 tion $\gamma(x)$ introduced previously and associated to the 507 square-root of Dirac's δ . We showed that the "kinetic 508 556 postulate" (1c) cannot be true for arbitrary f(x), nev-557 509 558 ertheless, its use yields meaningful results detailed in 510 559 paper I and excerpted in Sect. 3. A new concept, the sin-511 560 gularity strength of a function which is zero almost ev-512 561 erywhere, was introduced in Sec. 5. Finally, we showed 562 513 that $\gamma(x)$ 563 514 564

- $_{515}$ is not expandable in L^2 , thus it may violate stan- $_{565}$ dard quantum mechanical theorems
- ⁵¹⁷ functions $x^k \gamma(x x_0)$ have unit overlap with $\gamma(x \frac{567}{568} x_0)$ after normalization
- ⁵¹⁹ functions $\gamma(x-y)$ satisfy a special form of the clo-⁵²⁰ sure relation ⁵⁷¹
- ⁵²¹ functions $g(x)\gamma(x)$ with g(0) = 0 form a zero-length ⁵⁷² ⁵²² subset ⁵⁷³
- 523 supports a full ZDO approximation.
- ⁵²⁴ Two main issues require further studies:
- A) How is it possible that in spite of the several para-578 525 579 doxical situations it exhibits, and especially in spite 526 580 of the violation of the kinetic postulate, function 527 581 $\gamma(x)$ leads to useful applications, including some ex-528 582 act results? 529 583

B) Can functions constructed from $\gamma(x)$ be used to provide approximate description of many-electron systems in a quasi-classical way?

While continuing our research towards these directions, we shall be happy to receive any help from the scientific community in the above matters.

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